



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/681,643	05/15/2001	Takatoshi Tsujimura	JP920000112

CONFIRMATION NO. 8744

D.S.J.

00877

IBM CORPORATION, T.J. WATSON RESEARCH CENTER
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598



FORMALITIES LETTER



OC000000006156339

Date Mailed: 06/07/2001

Due 8/7/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/14/2001 KZWDIE 00000059 090468 09681643

01 FC:105

130.00 CH



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tsujimura et al.

Docket No.: JP920000112US1

Serial No.: 09/ 681, 643

Group Art Unit: 2879

Filed: May 15, 2001

Examiner:

For: Method And Apparatus For Manufacturing Active Matrix Device Including Top Gate Type TFT

Assistant Commissioner for Patents
Washington, DC 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated June 7, 2001 this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No.: 41,473
Tel. No.: (914) 945-2144

IBM Corporation
Intellectual Property Law Dept
P.O. Box 218
Yorktown Heights, New York 10593

Docket No.: JP920000112US1

BEST AVAILABLE COPY



09-12-01

Sector 1A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Tsujimura et al

Docket No.: JP920000112US1

Serial No.: 09/681,643

Group Art Unit: 2879

Filed: May 15, 2001

Examiner:

For: Method And Apparatus For Manufacturing Active Matrix Device Including Top Gate Type TFT

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

- Notice To File Missing Parts Of Non-Provisional Application
- Petition And Fee For Extension Of Time
- Transmittal Letter
- Deposit Amount Authorization Form
- 2 Declarations and Power of Attorney
- 2 Recordation Form cover sheet
- 2 Assignments to IBM
- Submission of Priority Document
- Certified Japanese Priority Application No. 2000-16007
- Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on September 7, 2001

La'Tricia M. Van Buren
(Type or printed name of person mailing paper or fee)

La'Tricia M. Van Buren
(Type or printed name of person mailing paper or fee)

BEST AVAILABLE COPY